



10/24/97

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PTO/SB/05 (8-96)

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<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(to be used for new applications only)</i>	Attorney Docket Number	
	First Named Inventor	JAMES A. WILLIAMS
	Total Pages in this Submission	

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS						
<p><i>Notice: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.</i></p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (prescribed filing fee(s))</p> <p>2. <input checked="" type="checkbox"/> Specification</p> <p>    <input checked="" type="checkbox"/> Title of the Invention</p> <p>    <input checked="" type="checkbox"/> Cross References to Related Applications (if applicable)</p> <p>    <input checked="" type="checkbox"/> Statement Regarding Federally-sponsored Research/Development (if applicable)</p> <p>    <input checked="" type="checkbox"/> Reference to Microfiche Appendix (if applicable)</p> <p>    <input checked="" type="checkbox"/> Background of the Invention</p> <p>    <input checked="" type="checkbox"/> Brief Summary of the Invention</p> <p>    <input checked="" type="checkbox"/> Brief Description of the Drawings (if drawings filed)</p> <p>    <input checked="" type="checkbox"/> Detailed Description</p> <p>    <input checked="" type="checkbox"/> Claim or Claims</p> <p>    <input checked="" type="checkbox"/> Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (when necessary as prescribed by 35 USC 113)</p> <p>4. <input checked="" type="checkbox"/> Executed Declaration</p> <p>5. Genetic Sequence Submission (if applicable, all must be included)</p> <p>    <input checked="" type="checkbox"/> Paper Copy</p> <p>    <input type="checkbox"/> Computer Readable Copy</p> <p>    <input type="checkbox"/> Statement Verifying Identical Paper and Computer Readable Copy</p>	<p>6. <input type="checkbox"/> Assignment Papers</p> <p>7. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>8. <input type="checkbox"/> Computer Program in Microfiche</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Petition Checklist and Accompanying Petition</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input type="checkbox"/> Proprietary Information</p> <p>14. <input type="checkbox"/> Return Receipt Postcard</p> <p>15. <input checked="" type="checkbox"/> Small Entity Statement</p> <p>16. <input checked="" type="checkbox"/> Additional Enclosures (please identify below):</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>						
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>							
<table border="1"> <tr> <td>Firm or Individual name</td> <td>JAMES A. WILLIAMS</td> </tr> <tr> <td>Signature</td> <td>James A. Williams</td> </tr> <tr> <td>Date</td> <td>10-27-97</td> </tr> </table>		Firm or Individual name	JAMES A. WILLIAMS	Signature	James A. Williams	Date	10-27-97
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Signature	James A. Williams						
Date	10-27-97						

FOR OFFICIAL USE ONLY					
Application Number		Class		Independent Claims	
Date of Receipt	Application Type	GAU		Total Claims	
	Filing Date	Foreign Filing License?		Drawing Sheets	
	Small Entity	Foreign Address?		Special Handling?	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<h1 style="margin: 0;">FEE TRANSMITTAL</h1>		<b>Complete if Known</b>	
		Application Number	
		Filing Date	10-17-97
		First Named Inventor	James A. Williams
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT (\$)		385.00	
		Attorney Docket Number	

<h3 style="text-align: center; margin: 0;">METHOD OF PAYMENT (check one)</h3> <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span></p> <p>Deposit Account Name <span style="border: 1px solid black; display: inline-block; width: 150px; height: 1.2em; vertical-align: middle;"></span></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17      <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="margin-left: 20px;"><input checked="" type="checkbox"/> Check      <input type="checkbox"/> Money Order      <input type="checkbox"/> Other</p> <h3 style="text-align: center; margin: 0;">FEE CALCULATION (fees effective 10/01/96)</h3> <div style="border: 1px solid black; padding: 5px;"> <h4 style="margin: 0;">1. 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late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,460	147	2,460	For filing a request for reexamination		112	900	112	900	Requesting publication of SIR prior to Examiner action		113	1,790	113	1,790	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	390	216	195	Extension for response within second month		117	630	217	465	Extension for response within third month		118	1,470	218	735	Extension for response within fourth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,470	138	1,470	Petition to institute a public use proceeding		140	110	240	55	Petition to revive unavoidably abandoned application		141	1,290	241	645	Petition to revive unintentionally abandoned application		142	1,290	242	645	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	650	244	325	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	230	126	230	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))		149	770	249	385	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____						Other fee (specify) _____						<b>SUBTOTAL (3)</b>					<b>(\$)</b> 0
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<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	James A. Williams			Reg. Number	
Signature	James A. Williams	Date	10-17-97	Deposit Account User ID	